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Asymmetric Hydroformylation using Homogeneous and Polymer-bound Chiral Platinum Catalysts

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The PtCl₂–SnCl₂ complexes of the chiral ligands (4*R*, 5*R*)-2,2-dimethyl-4,5-bis(diphenylphosphinomethyl)-1,3dioxolan [(-)-DIOP] and its bis(dibenzophosphole) analogue (-)-DBP-DIOP were employed as catalysts in homogeneous asymmetric hydroformylations of styrene, and high optical yields (*ca.* 94%) were obtained, the polymer-bound (-)-DIOP–PtCl₂–SnCl₂ giving optical yields of 25—30%; the rates, branched:normal isomer ratios, and optical yields were dependent on the gas pressure, H₂:CO ratio, and temperature.

Optical induction in asymmetric hydroformylation to date has resulted in only modest optical yields. The ligand (4R, 5R)-2,2-dimethyl-4,5-bis(diphenylphosphinomethyl)-1,3-dioxolan [(-)-DIOP]¹ and its dibenzophosphole analogue DBP-DIOP (1) have been well studied as ligands in rhodium-catalysed reactions. Optical yields in hydroformylations of styrene and its derivatives of ca. 40%,² and as high as 51% for vinyl acetate,3 have been achieved using Rh-DBP-DIOP catalysts. Catalysts prepared from PtCl₂-SnCl₂ and DIOP or DBP-DIOP gave moderate optical induction (22% for styrene at 100 °C) in preliminary studies.^{4,5} Therefore, we prepared $PtCl_2$ -SnCl_2-(-)-DBP-DIOP catalyst systems and found that under certain conditions they gave the highest optical yields ever reported for catalytic hydroformylations or, indeed, for any asymmetric catalytic carbon-carbon bond forming reaction (Table 1). For example, a 95% optical yield of (S)-(+)-2phenylpropanal was obtained at 50 °C in benzene using a H_2 : CO ratio of 2.4:1 at 218 kg cm⁻¹.² These high optical yields were reproducible.

Optical rotations were measured in benzene using aldehyde samples purified by distillation and the aldehyde structures were confirmed by g.c.-m.s. and n.m.r. studies. 3-Phenyl-propanal was produced and identified. The amount of each isomer was established by g.l.c. analysis for every sample used for optical rotation measurement. The optical rotation was linear with respect to 2-phenylpropanal concentration in the range 1.5–20 g in 100 ml of benzene. All optical yields were based on an absolute rotation $[\alpha]_{D}^{25} + 238^{\circ}$, ⁶ rather than the often used value of $[\alpha]_{D}^{25} + 160^{\circ}$.^{4,7}

Reaction with (-)-DIOP-PtCl₂-SnCl₂ (Table 2) showed that both optical yield and branched:normal (b:n) ratio increased with increased gas pressure. Higher H₂:CO ratios

Pressure/ kg cm ⁻²	H ₂ :CO	Time/h	Temp. $T/^{\circ}C$	Aldehyde ^b selectivity	b:n ^c	[α] ²¹	Optical yield/% ^d
235	2.6	15	50	59	4.3	+216.7	91
125	1.3	20	50	78	3.6	+194.5	82
228	2,2	15	50	69	4.3	+224.6	94
218	2.4	36	40	70	4.1	+224.8	95
100	1.0	16	60	81	2.6	+161	68
90	1.0	13	60	78	2.5	+167	70

^a Carried out in 50 ml glass-lined autoclaves (316 ss): preformed (-)-DBP-DIOP-PtCl₂, 8×10^{-6} mol; styrene, 1 ml; and benzene, 10 ml. Tin added as SnCl₂.H₂O, 2×10^{-5} mol. Styrene conversions >90% in each case. The amount of each isomer was determined by g.l.c. (SE 30 on AnaKrom, 110 \rightarrow 200 °C at 8° min⁻¹) with decane as the internal standard. ^b Aldehyde selectivity was based on amount of styrene reacted; ethylbenzene was also formed. ^c b : n is the banched : normal isomer ratio. ^d Specific rotation based on [α]_D²⁵ 238°.⁶ Results are reproducible to within ± 1.5 %. Duplicate experiments were run for each entry.

Pressure/		(1	Temp.	Aldehyde		r 191	Optical
kg cm⁻²	$H_2:CO$	Time/h	$T/^{\circ}C$	selectivity/%	b:n	[α]*1	yield/%
93	1.0	10	50	90	0.30	+83.5	35
103	1.0	4	60	95	0.49	+83.8	35
48	1.0	4	80	86	0.68	+28	12
103	1.0	3	80	86	0.78	+63.3	27
169	1.1	3	80	84	0.85	+78.8	33
183	2.4	3.8	80	76	0.84	+85.3	36
103	2.3	3	80	74	0.95	+74.5	31
101	4	3	80	29	1.1	+70.6	30

Table 2. Asymmetric hydroformylation of styrene catalysed by homogeneous (-)-DIOP-PtCl₂-SnCl₂.^a

^a The conditions were the same as in Table 1 (footnote ^a) except that preformed (-)-DIOP-PtCl₂ was used. ^b Results of specific runs are given. They were reproduced to $\pm 1.5\%$ in several cases.

Table 3. Asymmetric hydroformylation of styrene at 60 °Ca catalysed by polymer-bound (-)-DIOP-PtCl₂-SnCl₂.

Reaction number	DIOP: Pt	SnCl₂: Pt	Pressure/ kg cm ⁻²	Time/h	Conversion/ %	Aldehyde selectivity/%	b:n	Optical yield/%
1	0.48	1.3	103	10	19	96	0.47	26
2	1.8	1.8	103	24	22	92	0.43	25
3	1.45	1.7	82	11	86	94	0.49	27
4	1	2.2	99	10	80	92	0.52	27
Ś	i	4.5	100	10	95	91	0.57	27
6	1	1.3	100	10	80	93	0.47	29
ž	î	1.8	154	10	92	92	0.46	28
8	ī	2.1	87	12	100	94	0.57	28
ğ	Ď		92	26	77	92	0.49	29
10	е		97	42	63	93	0.49	29

^a Reactions 1—3 employed a styrene : Pt ratio of 1130, 1058, and 835:1 respectively. Reactions 4—10 employed styrene : Pt of 1058:1 with 8×10^{-6} mol of platinum. All other factors are the same as for Table 1. H₂: CO = 1:1. ^b Resin was recycled from reaction 8. ^c Resin was recycled from reaction 9 with 2.5 $\times 10^{-2}$ mol of SnCl₂.2H₂O added.



gave larger b: n ratios and affected the optical yield. The best optical yields were obtained at a H_2 : CO ratio of 2.3:1. As expected, the optical yields increased as the temperature decreased. Changes in the concentrations of catalysts, styrene, or SnCl₂ induced only minor effects on the optical yields. Small changes in the (-)-DIOP: Pt ratio gave large rate changes and the maximum rate occurred at ratios of 1-1.5:1.

Previous attempts to use polymer-bound asymmetric rhodium complexes in hydroformylation have met with limited success.⁸ We found that polymer-bound (-)-DIOP-Pt-Sn catalysts [polystyrene-divinylbenzene (1 %), 10.5 % ring substitution with (-)-DIOP] gave optical yields of 25–29 % (Table 3). The maximum rates were obtained at DIOP: Pt of 1–1.5:1 as in the homogeneous reactions. Variation of the Sn: Pt ratio from 1.3 to 4.5:1 did not affect the optical purities, rates, or b:n ratios using the polymer-bound catalysts. Also, the optical purity was unchanged as the pressure was raised from 82 to 154 kg cm⁻² but the rate increased as the pressure increased. The polymer-bound catalysts could be recycled without changes in the optical purity of the products. However, rates decreased on recycling and no improvement could be achieved by subsequent addition of excess of SnCl₂. Since rates were so sensitive to variation of the (-)-DIOP:Pt ratio, the formation of phosphine oxide or metallic platinum could account for the rate decrease on recycling.

(-)-DBP-DIOP and (-)-DIOP led to aldehydes of opposite absolute configuration in styrene hydroformylations catalysed by rhodium. We found these ligands both give the same configuration, *S*, of 2-phenylpropanal in PtCl₂-SnCl₂ catalysis. Kinetic studies showed styrene hydroformylation rates, using (-)-DIOP-PtCl₂-SnCl₂ followed the expression: rate is proportional to [Pt]^{0.8}*p*[H₂]¹*p*[CO]⁰[olefin]^{0.3}. This low order in styrene and carbon monoxide pressure contrasts with that reported⁹ for PtCl₂(PPh₃)₂-SnCl₂ (*i.e.* $r \propto$ [Pt]^{1.5}[olefin]¹*p*[H₂]¹*p*[CO]^{-0.5}).

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